

PATENT Docket No. 458172000100

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on May 20.

Kerry Keehan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

X X

In the application of:

Yuzhi QU

Serial No.:

09/657,985

Filing Date:

September 8, 2000

For:

SUPERCONDUCTING HEAT

TRANSFER MEDIUM

Examiner: To Be Assigned

Group Art Unit: 1775

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:
 With the application; accordingly, no fee or separate requirements are required.
 Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
 After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 A fee is required. A check in the amount of * is enclosed.
 A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
 After mailing of a final Office Action or Notice of Allowance, but before payment of the

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

under 37 C.F.R. § 1.97(e) are provided herein.

issue fee. Accordingly, an authorization to charge our deposit account, and a Certification

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for

any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 458172000100. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: May 22, 2001

Respectfully submitted,

By:

E. Thomas Wheelock Registration No. 28,825

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5739 Facsimile: (650) 494-0792